

SEBI Regn. No. (Stock Broker) INZ000004739 SEBI Regn. No. IN - DP3682018 Corporate Office:

718-A, P.J. Towers, Dalal Street, Fort, Mumbai-400 001

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## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2023**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Chaitali Pansari, Compliance Officer of Aryaman Capital Markets Limited am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

- 1. the Company has a Structured Digital Database in place
- 2. control exists as to who can access the SDD
- 3. all the UPSI disseminated in the previous quarter have been captured in the Database
- 4. the system has captured nature of UPSI along with date and time
- 5. the database has been maintained internally and an audit trail is maintained
- 6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture1 (one) number of events during the quarter ended and has captured 1 (one) number of the said required events.

I would like to report that the zero noncompliance(s) was observed in the previous quarter.

For Aryaman Capital Markets Limited

Chaitali Pansari Compliance Officer

Date: July 14, 2023 Place: Mumbai